University of Alberta
CMPUT 626 Machine Learning and Practical Privacy
Section Number A2
Fall 2023

Instructor: Bailey Kacsmar
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Office Hours: See e-class version
Lecture Room and Time: Tuesday/Thursday 3:30pm-4:50pm

   This copy of the syllabus is for **reference only** in advance of the course start date. Please see course website to ensure you are accessing the most up to date information.

   This syllabus is a guideline for the course and not a contract. As such, its terms may be altered when doing so is, in the opinion of the instructor(s), in the best interests of the class.

Course Description:

This is a seminar style course that focuses on current research in the space of machine learning and privacy. We will examine the literature as well as open questions in the space. Students will be expected to write reviews of published research papers from the field, present the paper to the class in the style of a research seminar presentation, and execute a novel research project that they will write up and present at the end of the term. *Prerequisites: This course has no prerequisites.*

Course Objectives and Expected Learning Outcomes:

By the end of this course students should be able to:

- Explain the notion of privacy within the machine learning space.
- Analyze security and privacy of machine learning protocols.
- Evaluate research on privacy of machine learning and articulate advantages and limitations.

Course Information

Email: Important course information will generally be posted to the course website, but may also be sent to your ualberta.ca email address. For personal matters, such as an illness, please email the instructors directly. We will only reply back to email from your ualberta.ca email address, following privacy rules.

 Lectures: *Tuesday/Thursday 3:30-4:50pm*, content will also be posted to e-class. It is your responsibility to keep up with all course-related information posted to e-class.

e-class: `https://eclass.srv.ualberta.ca/enrol/instances.php?id=90128`

Textbooks and Readings: There is no required textbook. Additional readings will be assigned, and will appear on e-class; readings marked as mandatory contain required material for the course. You must read these mandatory readings.

Outline

Part 1: Instructional

- Privacy and Technology
    - Solove - Nothing to hide
    - Westin - Privacy categories
    - Nissenbaum - Contextual Integrity
    - Dwork - Differential Privacy
    - MPC Chapter
- Ethics, law, and policy relevant to this course
    - University policy
    - GDPR, PIPEDA, CCPA, etc.
- Basics of cryptography (e.g., Symmetric encryption, Hash functions, MAC, Public key encryption (RSA), Semantic security, etc.)
- Attacks and Adversaries

Part 2: Seminar

- A selection of papers presented by students in each class.

- See references section for the papers details

- See course overview table for which day a paper is scheduled to be presented

- If you are aware of a paper that you would really like to present, but it is not listed in the course, let the instructor know, and it will be considered for inclusion.

Grading Scheme

- 20% Seminar-style presentations as discussion lead (2-3 throughout the term)
- 5% Quality of feedback on peers
- 20% Paper reviews (2 per week)
- 10% Participation in paper discussions
- 10% Project proposal (Due October 13, 2023 at 4pm MT)
- 25% Final project report (Due December 8, 2023 at 4pm MT)
- 10% Final project presentation (To be scheduled. Nov 28, 30, Dec 5, 7)

Seminar-Style Presentations

During the weeks we have student presentations there will typically be three student presenters per class. Each presenter will create slides for a 15 minute presentation, followed by leading a 10 minute discussion section. Your presentation should highlight the research questions, methodology, results, and take-aways/impacts of the work.

Paper Reviews

During the weeks we have student presentations and the day the instructor does paper presentations you will be expected to write a review of a subset of the papers from the week. Each class there are paper presentations you are expected to read at least two of the three papers. However, you only have to write a review for one of the papers presented that day. Reviews must be submitted before the start of class (specifically thirty minutes before the start of class). For each review you will:

- Write a short paper summary (3-6 sentences)

- Identify the contributions of the paper

- Identify the research question(s) of the paper

- Identify the strong components of the paper (e.g., reasons likely associated with its acceptance, strong executions, etc). Identify 2-4 such attributes

- Identify weak components of the paper (e.g., revisions that would improve validity, aspects that could improve breadth/impact, etc). Identify 2-4 such attributes.

- A 1-2 sentence statement as to why you think this paper was included in the course/it's relationship to the content thus far.

- Identify one possible research question that could be follow up for this paper.

Peer Review

Each student will receive feedback on their seminar presentations from each course participant. The presenting students' grade is not effected by these evaluations. Rather what is graded is the quality of the feedback being given. For example, the feedback "your presentation was really bad" is not helpful. Rather, a possible helpful comment is "Slide n the amount of content on this slide made it difficult to know what to focus on. Consider splitting into two slides, using boxes to emphasize what to focus on, or removing any non-critical content". Similarly, you could say "the pacing of how you organized the introduction was really nice and made it easy to follow the flow of the motivation behind the paper". This feedback will be submitted via e-class to each presenter before the next class.

Research Project

Projects will be done in teams of two. Exceptions (e.g., groups of one or three) are permissible only if they have acquired prior approval from the instructor before the proposal deadline. Note that a group of three would be expected to accomplish "more" than a group of two proportionately.

In writing your paper, you must become familiar with the research literature relevant to your topic. Your focus should be on academic venues, such as the USENIX Security Symposium, ACM CCS, IEEE Symposium on Security and Privacy, Privacy Enhancing Technologies Symposium (PETS), or the NDSS Symposium. You should email your topic, proposal, and paper to the instructors.

Topic approval: Your topic must be approved in advance by the instructors before you submit your proposal. Email the instructor at least one week before the proposal deadline (October5, 2023) with a brief (1-3 sentence) description of your intended topic.

Proposal: Your proposal should be one page in length and include at least 10 references, preferably including (but not limited to) papers from the aforementioned venues. It is recommended but not required that you discuss the proposal with the instructors first. Email your proposal to the instructors by Friday October 13, 2023 by 4pm MT.

Paper: Your paper should include realted work (a summary of past and current work on your topic; you should provide a concise summary of work, emphasizing major accomplishments, rather than a detailed accounting of individual pieces of research activity). Email your final paper to the instructor by DATE TBA

Format: Your proposal and paper paper should be formatted in the two-column ACM proceedings format, using one of the ACM SIG Proceedings Templates. Your paper should not be longer than eight pages. The ACM templates include headings for "Categories and Subject Descriptors", "General Terms", and "Keywords", which you do not need to use.

Presentation: Details of presentations will be available a few weeks into the course. It is dependent on the number of students for scheduling. It should be a presentation of your research similar to how you will have been presenting other peoples' research throughout the term.

Course Policy Information

Remarking Policy: If you have an assignment that you would like to have reappraised, please email the instructor to submit your request. Include a clear justification for your claims. The appeals deadline is **one week** after the respective graded item is first made available. If your appeal is concerned with a simple calculation error, please email me or speak with me during my office hours.

Missed or Late Assessments: Please start working on the material in advance of the deadlines. To motivate you to do so, we may require you to submit milestones for some or all of them. Late submissions for the project proposal and project proposal will be accepted only up to 72 hours after the original due date. All other graded components (paper reviews, peer feedback, and presentations must be done on time). There is no penalty for accepted late submissions. Course personnel will not normally give assistance after the original due dates.

Security Information: In this course, you will be exposed to information about security problems and vulnerabilities with computing systems and networks. To be clear, you are not to use this or any other similar information to test the security of, break into, compromise, or otherwise attack, any system or network without the express consent of the owner.

University Policy Information

Academic Integrity and Student Conduct:

The University of Alberta is committed to the highest standards of academic integrity and honesty, as well as maintaining a learning environment that fosters the safety, security, and the inherent dignity of each member of the community, ensuring students conduct themselves accordingly. Students are expected to be familiar with the standards of academic honesty and appropriate student conduct, and to uphold the policies of the University in this respect. Students are particularly urged

to familiarize themselves with the provisions of the Code of Student Behaviour and the Student Conduct Policy, and avoid any behaviour that could potentially result in suspicions of academic misconduct (e.g., cheating, plagiarism, misrepresentation of facts) and non-academic misconduct (e.g., discrimination, harassment, physical assault). Academic and non-academic misconduct are taken very seriously and can result in suspension or expulsion from the University.

All students are expected to consult the Academic Integrity website for clarification on the various academic offences. All forms of academic dishonesty are unacceptable at the University. Any suspected academic offence in this course will be reported to the College of Natural and Applied Sciences. Suspected cases of non-academic misconduct will be reported to the Dean of Students. The College, the Faculty of Science, and the Dean of Students are committed to student rights and responsibilities, and adhere to due process and administrative fairness, as outlined in the Code of Student Behaviour and the Student Conduct Policy. Anyone who is found in violation is likely to receive a sanction. Typical sanctions for academic misconduct include conduct probation, a mark reduction or a mark of 0 on an assessment, a grade reduction or a grade of F in a course, a remark on the transcript, and a recommendation for suspension or expulsion. Sanctions for non-academic misconduct include conduct conditions, fines, suspension of essential or non-essential University services and resources, and suspension or expulsion from the University.

Appropriate Collaboration:

Students are not permitted to copy solutions on homework assignments. Here are some tips to avoid copying on assignments:

1. Do not write down something that you cannot explain to your instructor.

2. When you are helping other students, avoid showing them your work directly. Instead, explain your solution verbally. Students whose work is copied also receive academic sanctions.

3. If you find yourself reading another student's solution, do not write anything down. Once you understand how to solve the problem, remove the other person's work from your sight and then write up the solution to the question yourself. Looking back and forth between someone else's paper and your own paper is almost certainly copying and will result in academic sanctions for both you and your fellow student.

4. If the instructor or TA writes down part of a solution in order to help explain it to you or the class, you cannot copy it and hand it in for credit. Treat it the same way you would treat another student's work with respect to copying, that is, remove the explanation from your sight and then write up the solution yourself.

5. There is often more than one way to solve a problem. Choose the method that makes the most sense to you rather than the method that other students happen to use. If none of the ideas in your solution are your own, there is a good chance it will be flagged as copying.

Students Eligible for Accessibility-Related Accommodations:

In accordance with the University of Alberta's Discrimination, Harassment, and Duty to Accommodate policy, accommodation support is available to eligible students who encounter limitations or restrictions to their ability to perform the daily activities necessary to pursue studies at a post-secondary level due to medical conditions and/or non-medical protected grounds. Accommodations are coordinated through the Academic Success Centre, and students can learn more about eligibility on the Register for Accommodations website.

It is recommended that students apply as early as possible in order to ensure sufficient time to complete accommodation registration and coordination. Students are advised to review and adhere to published deadlines for accommodation approval and for specific accommodation requests (e.g., exam registration submission deadlines). Students who request accommodations less than a month in advance of the academic term for which they require accommodations may experience unavoidable delays or consequences in their academic programs, and may need to consider alternative academic schedules.

Recording and/or Distribution of Course Materials:

Audio or video recording, digital or otherwise, of lectures, labs, seminars or any other teaching environment by students is allowed only with the prior written consent of the instructor or as a part of an approved accommodation plan. Student or instructor content, digital or otherwise, created and/or used within the context of the course is to be used solely for personal study, and is not to be used or distributed for any other purpose without prior written consent from the content author(s).

References

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Week	Tuesday	Thursay
One: September 5th and 7th	Course overview, Privacy Part 1 Bailey	Privacy Part 2 Bailey
Two: September 12th and 14th	Ethics, law, and policy Bailey	Cryptography Part 1 Bailey
Three: September 19th and 21st	Cryptography Part 2 Bailey	Attacks, Adversaries Bailey
Four: September 26th and 28th	Paper Presentations Bailey [26]	Paper Presentations Student i Student ii Student iii
Five October 3rd and 5th	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
Six: October 10th and 12th	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
Seven: October 17th and 19th	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
Eight: October 24th and 26th	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
Nine: October 31 and November 2nd	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
Ten: November 7th and 9th	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
READING WEEK NO CLASS	-	-
Eleven: November 21st and 23rd	Paper Presentations Student i Student ii Student iii	Paper Presentations Student i Student ii Student iii
Twelve: November 28th and 30th	TBA	TBA
Thirteen: December 5th and 7th	Project Presentations TBA	Project Presentations TBA